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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,522	11/27/2001	Ricky Taira	501090.01

27076
DORSEY & WHITNEY LLP
SUITE 3400
1420 FIFTH AVENUE
SEATTLE, WA 98101



CONFIRMATION NO. 3545

FORMALITIES LETTER



OC000000007390401

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/09/2002 BABRAHA1 00000127 09996522

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*A copy of this notice **MUST** be returned with the reply.*

M. Dechat

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#4/Reg for
Refund
04-30-02

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Karen Parish, Refund Unit, Accounting Division, Office of Finance, Commissioner of Patents, Washington, DC 20231.

March 25, 2002
Date

Peter Rebuffoni
Peter Rebuffoni

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Rick K. Taira, Ph.D.	Attorney Docket No.:	501090.01
Serial No.	: 09/996,522	Group Art Unit	: 2644
Filed	: November 27, 2001	Examiner	: Not yet assigned
Title	: METHOD AND SYSTEM FOR CREATING COMPUTER-UNDERSTANDABLE STRUCTURED MEDICAL DATA FROM NATURAL LANGUAGE REPORTS		

REQUEST FOR REFUND

Attention: Karen Parish
Refund Unit
Accounting Division
Office of Finance
Commissioner of Patents
Washington, D.C. 20231

In filing U.S. Application No. 09/996,522 on November 27, 2001, Applicant incorrectly indicated it was a large entity, and, as a result, incorrectly tendered a filing fee of \$1,040. In accordance with applicant's small entity status, the fee should have been \$520:

Basic Fee	\$370
Total Claims (32, 12 extra)	\$108
Independent Claims (4, 1 extra)	<u>\$ 42</u>
TOTAL	\$520

Applicant respectfully requests that the Patent and Trademark Office refund \$520 of the fee.
Please credit the refund to Deposit Account No. 50-1266, referencing our Docket No. 501090.01

Respectfully submitted,

DORSEY & WHITNEY LLP

A handwritten signature in black ink, appearing to read 'Frank J. Bozzo', with a long horizontal flourish extending to the right.

Frank J. Bozzo

Registration No. 36,756

FJB/ln

1420 Fifth Avenue, Suite 3400

Seattle, WA 98101

Tel: (206) 903-8800

Fax: (206) 903-8820

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mp

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

March 25, 2002
Date

Peter Rebuffoni
Peter Rebuffoni

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Rick K. Taira, Ph.D.	Attorney Docket No.:	501090.01
Serial No.	: 09/996,522	Group Art Unit	: 2644
Filed	: November 27, 2001	Examiner	: Not yet assigned
Title	: METHOD AND SYSTEM FOR CREATING COMPUTER-UNDERSTANDABLE STRUCTURED MEDICAL DATA FROM NATURAL LANGUAGE REPORTS		

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated January 30, 2002, please find enclosed a Declaration and Election and Power of Attorney and copy of Notice to File Missing Parts for the above-identified application.

Also enclosed is a supplemental application data sheet.

Enclosed is a check in the amount of \$65 for the requisite fee. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit

Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Frank J. Bozzo
Registration No. 36,756

FJB/ln

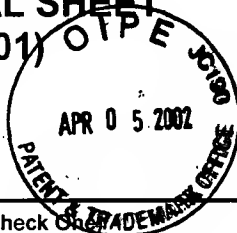
Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- Copy of this Response
- Copy of Notice to File Missing Parts
- Application Data Sheet
- Declaration
- Election and Power of Attorney
- Copy of Request for Refund

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Tel: (206) 903-8800
Fax: (206) 903-8820

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FEE TRANSMITTAL SHEET (FOR FY 2001)



Complete if Known

Application No.	09/996,522
Filing Date	November 27, 2001
First Named Inventor	Rick K. Taira, Ph.D.
Group Art Unit	2644
Examiner	Not yet assigned
Atty. Docket Number	501090.01

METHOD OF PAYMENT (Check One)

FEE CALCULATION (Continued)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)				\$ -0-

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	32	-	32	= -0- x \$ 18 = \$ -0-
Ind.	4	-	4	= -0- x \$ 84 = \$ -0-
Multiple Dependent Claims				x \$ = \$
Subtotal (2)				\$ -0-

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$ 65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	400	216	200	Extension for reply within 2 nd month	\$
117	920	217	460	Extension for reply within 3 rd month	\$
118	1,440	218	720	Extension for reply within 4 th month	\$
128	1,960	280	980	Extension for reply within 5 th month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)	\$
143	460	243	230	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	740	279	370	Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$ 65

Total Amount of Payment: \$ 65

Submitted by:

Name: Frank J. Bozzo	Reg. No.: 36,756	Telephone: (206) 903-8800
Signature:		Date: 3/25/02